



AUG 16 2004

Sheet 1 of 1

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| Substitute Form PTO-1<br>(Modified)  | <br>U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br><b>17075-021001 / 0125</b> |
| <b>List of Patents and Publications for Applicant's<br/>Information Disclosure Statement</b> |  | Application No.<br><b>10/723,273</b>                |
| <b>Applicant</b><br><b>Barrett, et. al</b>   |  |   |
| <b>Filing Date</b><br><b>November 25, 2003</b>   |  | <b>Group Art Unit</b><br><b>3738</b>                |

## **U.S. Patent Documents**

## **Foreign Patent Documents or Published Foreign Patent Applications**

**Other Documents (include Author, Title, Date, and Place of Publication)**

| Examiner Initial | Design ID | Document |
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**Foreign Patent Documents or Published Foreign Patent Applications**

**Other Documents (include Author, Title, Date, and Place of Publication)**

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| Examiner Signature  | /Nihir Patel/ | Date Considered | 10/25/2007 |
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|--|--|---------------------------------------|-----------------------------|----------------------|
| IN THE UNITED STATES PATENT AND TRADEMARK OFFICE<br><br>INFORMATION DISCLOSURE STATEMENT   |  | APPLICATION SERIAL NO.:<br>10/723,273 | ATTY DKT NO.:<br>38349-0125 | CONFIRM NO.:<br>8613 |
| UNDER 37 CFR § 1.56, § 1.97, and § 1.98<br><br>O I P E J C I S O<br>M A R 1 6 2004<br>P A T E N T & T R A D E M A R K O F F I C E<br>FORM PTO-1449 |  | APPLICANTS:<br>Barrett et al.         | CUSTOMER NO.:<br>24961      |                      |
| Sheet 1 of 11  |  | FILING DATE:<br>November 25, 2003     | GROUP ART UNIT:<br>3738     |                      |

### U.S. PATENT DOCUMENTS

| † EX'R INITIAL | REF. # | PATENT NUMBER     | DATE     | NAME               | U.S. CLASS/<br>SUBCLASS | FILING DATE<br>(If appropriate) |
|----------------|--------|-------------------|----------|--------------------|-------------------------|---------------------------------|
| /NP/           | *A     | 2003/0018327 (A1) | 01/23/03 | Truckal et al.     | 606/32                  | 07/18/02                        |
|                | *B     | 2003/0018344 (A1) | 01/23/03 | Kaji et al.        | 606/130                 | 07/19/02                        |
|                | *C     | 2003/0050648 (A1) | 03/13/03 | Alferness et al.   | 606/108                 | 09/11/01                        |
|                | *D     | 2003/0083671(A1)  | 05/01/03 | Rimbaugh et al.    | 606/108                 | 10/25/01                        |
|                | *E     | 2003/0181922 (A1) | 09/25/03 | Alferness          | 606/108                 | 03/20/02                        |
|                | *F     | 2003/0183235 (A1) | 10/02/03 | Rimbaugh et al.    | 128/207.15              | 04/17/03                        |
|                | *G     | 2002/0138135 (A1) | 09/26/02 | Duerig et al.      | 623/1.24                | 03/21/01                        |
|                | *H     | 2002/0112729 (A1) | 08/22/02 | DeVore et al.      | 128/207.15              | 02/21/01                        |
|                | *I     | 2002/0111620 (A1) | 08/15/02 | Cooper et al.      | 606/41                  | 09/04/01                        |
|                | *J     | 2002/0111619 (A1) | 08/14/02 | Keast et al.       | 606/41                  | 09/04/01                        |
|                | *K     | 2002/0095209 (A1) | 07/18/02 | Zadno-Azizi et al. | 623/1.24                | 02/08/02                        |
|                | *L     | 2002/0087153 (A1) | 07/04/02 | Roschak et al.     | 606/27                  | 09/04/01                        |
|                | *M     | 2002/0077696 A1   | 06/20/02 | Zadno-Azizi et al. | 623/1.24                | 02/21/02                        |
|                | *N     | 2002/0077593 (A1) | 06/20/02 | Perkins et al.     | 604/96.01               | 02/11/02                        |
|                | *O     | 2002/0062120 (A1) | 05/23/02 | Perkins et al.     | 604/516                 | 12/13/01                        |
|                | *P     | 2002/0026233(A1)  | 02/28/02 | Shaknovich         | 623/1.24                | 03/20/01                        |
|                | *Q     | 2002/0007831(A1)  | 1/24/02  | Davenport et al.   | 128/200.24              | 07/18/01                        |
|                | *R     | 2001/0056274 (A1) | 12/27/01 | Perkins et al.     | 604/516                 | 07/02/01                        |
|                | *S     | 2001/0052344 (A1) | 12/20/01 | Doshi              | 128/207                 | 06/14/01                        |
|                | *T     | 2001/0051799 (A1) | 12/13/01 | Ingenito           | 604/516                 | 04/20/01                        |
|                | *U     | 2001/0041906 (A1) | 11/15/01 | Gonzalez           | 606/191                 | 07/24/01                        |
|                | *V     | 2001/0037808 A1   | 11/08/01 | Deem et al.        | 128/200.24              | 03/02/01                        |
| /NP/           | *W     | 2001/0025132 (A1) | 09/27/01 | Alferness et al.   | 600/37                  | 02/09/01                        |

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| EXAMINER'S SIGNATURE<br><br>/Nihir Patel/ | DATE<br>CONSIDERED<br>10/25/2007 |
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|  |  | APPLICANTS:<br>Barrett <i>et al.</i>  | CUSTOMER NO.:<br>24961      |                      |
|  |  | FILING DATE:<br>November 25, 2003     | GROUP ART UNIT:<br>3738     |                      |
| Sheet 2 of 11  |  |                                       |                             |                      |

| <b>U.S. PATENT DOCUMENTS</b> |     |           |          |                         |            |          |
|------------------------------|-----|-----------|----------|-------------------------|------------|----------|
| /NP/                         | *X  | 2,981,254 | 04/25/61 | Vanderbilt              | 128/350    | 11/12/57 |
|                              | *Y  | 3,657,744 | 04/25/72 | Ersek                   | 128/334    | 05/08/70 |
|                              | *Z  | 3,788,327 | 01/29/74 | Donowitz <i>et al.</i>  | 128/350    | 03/30/71 |
|                              | *AA | 3,874,388 | 04/01/75 | King <i>et al.</i>      | 128/334    | 02/12/73 |
|                              | *AB | 4,014,318 | 03/29/77 | Dockum <i>et al.</i>    | 128/1      | 05/22/75 |
|                              | *AC | 4,086,665 | 05/02/78 | Poirier                 | 623/1      | 12/16/76 |
|                              | *B  | 4,212,463 | 07/15/80 | Repinski <i>et al.</i>  | 273/418    | 02/17/78 |
|                              | *AD | 4,250,873 | 02/17/81 | Bonnet                  | 600/104    | 04/17/78 |
|                              | *AE | 4,302,854 | 12/01/81 | Runge                   | 3/1.7      | 06/04/80 |
|                              | *AF | 4,477,930 | 10/23/84 | Totten <i>et al.</i>    | 3/1.5      | 09/28/82 |
|                              | *AG | 4,710,192 | 12/01/87 | Liotta <i>et al.</i>    | 623/1      | 10/17/86 |
|                              | *AH | 4,732,152 | 03/22/88 | Wallsten <i>et al.</i>  | 128/343    | 12/5/85  |
|                              | *AI | 4,759,758 | 07/26/88 | Gabbay                  | 623/2      | 12/07/84 |
|                              | *AJ | 4,795,449 | 01/03/89 | Schneider <i>et al.</i> | 604/329    | 08/04/86 |
|                              | *AK | 4,808,183 | 02/28/89 | Panje                   | 623/9      | 06/03/80 |
|                              | *AL | 4,819,664 | 04/11/89 | Nazari                  | 128/207.15 | 02/17/88 |
|                              | *AM | 4,830,003 | 05/16/89 | Wolff <i>et al.</i>     | 128/343    | 06/17/88 |
|                              | *AN | 4,832,680 | 05/23/89 | Haber <i>et al.</i>     | 600/31     | 07/03/86 |
|                              | *AO | 4,846,836 | 07/11/89 | Reich                   | 623/11     | 10/03/88 |
|                              | *AP | 4,850,999 | 07/25/89 | Planck                  | 623/1      | 05/26/81 |
|                              | *AQ | 4,852,568 | 08/01/89 | Kensey                  | 128/325    | 12/28/87 |
| ↓                            | *AR | 4,877,025 | 10/31/89 | Hanson                  | 128/107.16 | 10/06/88 |
| /NP/                         | *AS | 4,934,999 | 06/19/90 | Bader                   | 600/29     | 07/28/88 |

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3/11/04 11:27 AM (38349.0125)

|  |  |                                       |                             |                      |
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| <b>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</b><br><b>INFORMATION DISCLOSURE STATEMENT</b><br>UNDER 37 CFR § 1.56, § 1.97, and § 1.98<br><b>FORM PTO-1449</b><br>Sheet 3 of 11 |  | APPLICATION SERIAL NO.:<br>10/723,273 | ATTY DKT NO.:<br>38349-0125 | CONFIRM NO.:<br>8613 |
|  |  | APPLICANTS:<br>Barrett et al.         | CUSTOMER NO.:<br>24961      |                      |
|  |  | FILING DATE:<br>November 25, 2003     | GROUP ART UNIT:<br>3738     |                      |

| <b>U.S. PATENT DOCUMENTS</b> |     |           |          |                    |            |          |
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|                              | *AU | 5,061,274 | 10/29/91 | Kensey             | 606/213    | 12/04/89 |
|                              | *AV | 5,116,360 | 05/26/92 | Pinchuk et al.     | 623/1      | 12/27/90 |
|                              | *AW | 5,116,564 | 05/26/92 | Jansen et al.      | 264/255    | 10/10/89 |
|                              | *AX | 5,123,919 | 06/23/92 | Sauter et al.      | 623/2      | 06/23/92 |
|                              | *AY | 5,151,105 | 09/29/92 | Kwan-Gett          | 623/1      | 10/07/91 |
|                              | *AZ | 5,161,524 | 11-92    | Evans              | 128/203.15 |          |
|                              | *BA | 5,306,234 | 04/26/94 | Johnson            | 604/49     | 03/23/93 |
|                              | *BB | 5,352,240 | 10/04/94 | Ross               | 623/2      | 05/31/89 |
|                              | *BC | 5,358,518 | 10/25/94 | Camilli            | 623/2      | 01/25/93 |
|                              | *BD | 5,366,478 | 11/22/94 | Brinkerhoff et al. | 660/213    | 07/27/93 |
|                              | *BE | 5,382,261 | 01/17/95 | Palmaz             | 606/158    | 09/01/92 |
|                              | *BF | 5,392,775 | 02/28/95 | Adkins et al.      | 128/207.16 | 03/22/94 |
|                              | *BG | 5,409,019 | 04/25/95 | Wilk               | 128/898    | 11/03/93 |
|                              | *BH | 5,411,507 | 05/02/95 | Heckele            | 606/108    | 01/05/94 |
|                              | *BI | 5,411,552 | 05/02/95 | Andersen et al.    | 623/2      | 06/14/94 |
|                              | *BJ | 5,413,599 | 05/09/95 | Imachi et al.      | 623/2      | 12/13/93 |
|                              | *BK | 5,417,226 | 05/23/95 | Juma               | 128/885    | 06/09/94 |
|                              | *BL | 5,445,626 | 08/29/95 | Gigante            | 604/349    | 06/03/94 |
|                              | *BM | 5,486,154 | 01/23/96 | Kelleher           | 600/104    | 06/08/93 |
|                              | *BN | 5,499,995 | 03/19/96 | Teirstein          | 606/192    | 05/25/94 |
| ↓                            | *BO | 5,500,014 | 03/19/96 | Quijano et al.     | 623/2      | 05/09/96 |
| /NP/                         | *BP | 5,562,608 | 10/08/96 | Sekins et al.      | 604/20     | 04/13/95 |

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| EXAMINER'S SIGNATURE | /Nihar Patel/ | DATE<br>CONSIDERED<br>10/25/2007 |
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|   |  | APPLICANTS:<br><i>Barrett et al.</i>  | CUSTOMER NO.:<br>24961      |                      |
| Sheet 4 of 11   |  | FILING DATE:<br>November 25, 2003     | GROUP ART UNIT:<br>3738     |                      |

| U.S. PATENT DOCUMENTS   |     |           |          |                           |            |                                  |
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| /NP/  | *BQ | 5,645,565 | 07/08/97 | Rudd <i>et al.</i>        | 606/213    | 06/13/93                         |
|   | *BR | 5,660,175 | 08/26/97 | Dayal                     | 128/207.15 | 08/21/95                         |
|   | *BS | 5,662,713 | 09/02/97 | Andersen <i>et al.</i>    | 623/12     | 09/14/95                         |
|   | *BT | 5,683,451 | 11/04/97 | Lenker <i>et al.</i>      | 623/1      | 06/07/95                         |
|   | *BU | 5,697,968 | 12/16/97 | Rogers <i>et al.</i>      | 623/1      | 08/10/95                         |
|   | *BV | 5,755,770 | 5/26/98  | Ravenscroft               | 623/1      | 01/31/95                         |
|   | *BW | 5,800,339 | 09/01/98 | Salama                    | 600/29     | 05/02/97                         |
|   | *BX | 5,840,081 | 11/24/98 | Andersen <i>et al.</i>    | 623/2      | 02/19/97                         |
|   | *BY | 5,851,232 | 12/22/98 | Lois                      | 623/1      | 3/13/97                          |
|   | *BZ | 5,855,587 | 01/05/99 | Hyon <i>et al.</i>        | 606/188    | 08/22/96                         |
|   | *CA | 5,855,597 | 01/5/99  | Jayaraman                 | 623/1      | 05/07/97                         |
|   | *CB | 5,855,601 | 01/05/99 | Bessler <i>et al.</i>     | 623/2      | 06/21/96                         |
|   | *CC | 5,944,738 | 08/31/99 | Amplatz <i>et al.</i>     | 606/213    | 02/06/98                         |
|   | *CD | 5,947,997 | 09/07/99 | Pavcnik <i>et al.</i>     | 606/213    | 06/30/97                         |
|   | *CE | 5,954,766 | 09/21/99 | Zadno-Azizi <i>et al.</i> | 623/2      | 09/16/97                         |
|   | *CF | 5,957,949 | 09/28/99 | Leonhardt <i>et al.</i>   | 606/194    | 05/01/97                         |
|   | *CG | 5,976,174 | 11/02/99 | Ruiz                      | 606/213    | 12/15/97                         |
|   | *CH | 5,984,965 | 11/16/99 | Knapp <i>et al.</i>       | 623/12     | 08/28/97                         |
|   | *CI | 6,007,575 | 12/28/99 | Samuels                   | 623/1      | 06/06/97                         |
|   | *CJ | 6,009,614 | 01/04/00 | Morales                   | 29/516     | 04/21/98                         |
|   | *CK | 6,020,380 | 02/01/00 | Killian                   | 514/570    | 11/25/98                         |
| ✓   | *CL | 6,022,312 | 02/08/00 | Chaussy <i>et al.</i>     | 600/29     | 02/20/98                         |
|   | *CM | 6,027,525 | 02/22/00 | Suh <i>et al.</i>         | 623/1      | 05/23/97                         |
| /NP/  | *CN | 6,051,022 | 04/18/00 | Cai <i>et al.</i>         | 623/2      | 12/30/98                         |
| EXAMINER'S SIGNATURE<br><i>Nihir Patel</i>  |     |           |          |                           |            | DATE<br>CONSIDERED<br>10/25/2007 |
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| * If an asterisk is placed beside the reference number, a copy is not provided.   |     |           |          |                           |            |                                  |
| 3/11/04 11:27 AM (38349.0125)   |     |           |          |                           |            |                                  |

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|   |  | APPLICANTS:<br>Barrett et al.         | CUSTOMER NO.:<br>24961      |                      |
| Sheet 5 of 11   |  | FILING DATE:<br>November 25, 2003     | GROUP ART UNIT:<br>3738     |                      |

| <b>U.S. PATENT DOCUMENTS</b> |     |                |          |                    |            |          |
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| /NP/                         | *CO | 6,068,635      | 05/30/00 | Gianotti           | 606/108    | 06/04/98 |
|                              | *CP | 6,068,638      | 05/30/00 | Makower            | 606/159    | 10/27/98 |
|                              | *CQ | 6,077,291      | 06/20/00 | Das                | 606/213    | 11/26/96 |
|                              | *CR | 6,083,255      | 07/04/00 | Laufer et al.      | 607/96     | 12/19/97 |
|                              | *CS | 6,123,663      | 09/26/00 | Rebuffat           | A61F2/00   | 12/23/98 |
|                              | *CT | 6,135,729      | 10/24/00 | Aber               | 417,420    | 07/09/99 |
|                              | *CU | 6,135,991      | 10/24/00 | Muni et al.        | 604/509    | 03/27/98 |
|                              | *CV | 6,141,855      | 11/07/00 | Morales            | 29/516     | 04/28/98 |
|                              | *CW | 6,162,245      | 12/19/00 | Jayaraman          | 623/1.15   | 01/05/99 |
|                              | *CX | 6,168,614 (B1) | 01/02/01 | Andersen et al.    | 623/1      | 02/20/98 |
|                              | *CY | 6,174,323 (B1) | 01/16/01 | Biggs et al.       | 606/232    | 06/05/98 |
|                              | *CZ | 6,183,520 (B1) | 02/06/01 | Pintauro et al.    | 623/23.64  | 11/10/98 |
|                              | *DA | 6,200,333 (B1) | 03/13/01 | Laufer             | 607/96     | 12/31/98 |
|                              | *DB | 6,206,918 (B1) | 03/27/01 | Campbell et al.    | 623/2.32   | 05/12/99 |
|                              | *DC | 6,234,996 (B1) | 05/22/01 | Bagaoisan et al.   | 604/97.01  | 06/23/99 |
|                              | *DD | 6,240,615 (B1) | 06/05/01 | Kimes et al.       | 29/516     | 09/30/99 |
|                              | *DE | 6,245,102 (B1) | 06/12/01 | Jayaraman          | 623/1.15   | 07/21/99 |
|                              | *DF | 6,258,100      | 07/11/01 | Alferness et al.   | 606/108    | 10/10/00 |
|                              | *DG | 6,270,527 (B1) | 08/07/01 | Campbell et al.    | 623/2.18   | 10/16/98 |
|                              | *DH | 6,287,290 (B1) | 09/11/01 | Perkins et al.     | 604/516    | 07/02/99 |
|                              | *DI | 6,293,951 (B1) | 09/25/01 | Alferness et al.   | 606/108    | 08/24/99 |
|                              | *DJ | 6,302,893 (B1) | 10/16/01 | Limon et al.       | 606/108    | 06/19/00 |
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| EXAMINER'S SIGNATURE<br><i>/Nihar Patel/</i> | DATE<br>CONSIDERED<br>10/25/2007 |
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 UNDER 37 CFR § 1.56, § 1.97, and § 1.98  
**FORM PTO-1449**

Sheet 7 of 11

|                                   |                         |              |
|-----------------------------------|-------------------------|--------------|
| APPLICATION SERIAL NO.:           | ATTY DKT NO.:           | CONFIRM NO.: |
| 10/723,273                        | 38349-0125              | ****         |
| APPLICANTS:<br>Barrett et al.     | CUSTOMER NO.:<br>24961  |              |
| FILING DATE:<br>November 25, 2003 | GROUP ART UNIT:<br>**** |              |

**FOREIGN PATENT DOCUMENTS**

| † EX'R INITIAL | REF. # | Patent Number | Date     | Country | TRANSLATION (YES/NO) |
|----------------|--------|---------------|----------|---------|----------------------|
| /NP/           | DZ     | 00/15149      | 03/23/00 | PCT     |                      |
|                | EA     | 00/42950      | 07/27/00 | PCT     |                      |
|                | EB     | 00/51510      | 09/08/00 | PCT     |                      |
|                | EC     | 00/62699      | 10/26/00 | PCT     |                      |
|                | ED     | 00/78386 (A1) | 12/28/00 | PCT     |                      |
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|                | EG     | 01/03642 (A1) | 01/18/01 | PCT     |                      |
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| APPLICATION SERIAL NO.:           | ATTY DKT NO.:           | CONFIRM NO.: |
| 10/723,273                        | 38349-0125              | ****         |
| APPLICANTS:<br>Barrett et al.     | CUSTOMER NO.:<br>24961  |              |
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|   |                         | 10/723,273                    | 38349-0125             | ****         |
|   |                         | APPLICANTS:<br>Barrett et al. | CUSTOMER NO.:<br>24961 |              |
| FILING DATE:<br>November 25, 2003   | GROUP ART UNIT:<br>**** |                               |                        |              |

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| 10/723,273                        | 38349-0125              | ****         |
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|---------------------------------------|-----------------------------|----------------------|
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| APPLICANTS:<br>Barrett et al.         | CUSTOMER NO.:<br>24961      |                      |
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| APPLICATION SERIAL NO.:           | ATTY DKT NO.:          | CONFIRM NO.: |
| 10/723,273                        | 38349-0125             | ****         |
| APPLICANTS:<br>Barrett et al.     | CUSTOMER NO.:<br>24961 |              |
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|   |  | APPLICANTS:<br>Barrett et al.         | CUSTOMER NO.:<br>24961      |                      |
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x English Language Derwent is enclosed.

x+ English Language Equivalent is enclosed.

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